PRUDENTIAL

APPLICATION & INCOME PAYMENT FORM

FOR ANNUITY QUOTATION REF: A Q

INCLUDING EXISTING PRUDENTIAL PENSION FUND(S)

Please add the full reference for the annuity you are accepting.

Please use black ink and write in CAPITAL LETTERS or tick ✔ as appropriate.

Any corrections must be initialled. Please do not use correction fluid as this will invalidate your application.

ABOUT THIS FORM

Please ensure that all the information on this form is fully completed and forwarded together with the following documents (all certificates will be returned to you). We will accept Verification Forms in place of these documents.

- Your Birth Certificate
- Your Spouse's/Civil Partner's/Dependant's Birth Certificate (if applicable) and
- Marriage Certificate/Civil Partnership Certificate (if applicable)
- DWP CA form (if applicable).

Your income will not be set up until we have received all of your documents and annuity purchase money. **If there is less than a 10% difference between the size of the pension fund used for the quotation and the amount actually used to provide your pension, we will revise your quotation and complete your application. If the difference is greater than this, we will send you a new quotation.**

PERSONAL DETAILS

Title Mr ☐ Mrs ☐ Miss ☐ Ms ☐ Other

Surname

Forenames

N. I. Number

Daytime telephone number

If applicable.

Full name of spouse/civil partner/dependant

Your Home Address

Postcode

continued overleaf

YOUR PAYMENT DETAILS

We will automatically pay your income, and if appropriate your tax-free cash, to a bank or building society.

YOUR CURRENT ACCOUNT DETAILS

Name of Bank or Building Society

Bank or Building Society address

Postcode

Please note that we can only make payments to an account in your name.

Account Holders Name (personal or joint name only)

Bank Account Number

Building Society Roll Number

This is the six digit number shown on your cheque book.

Sort Code

– –

PLANS WRITTEN IN TRUST

If your existing Prudential plan is written in Trust (and the Trustee is not the beneficiary i.e. the policyholder) we also need the signature(s) of all additional trustees below.

i) Signed (Trustee)

✗

Date signed

D D M M Y Y Y Y

Name in Block Letters

Home Address

Postcode

ii) Signed (Trustee)

✗

Date signed

D D M M Y Y Y Y

Name in Block Letters

Home Address

Postcode

continued overleaf

CURRENT SCHEME DETAILS

Please indicate the scheme name(s) or types(s) of policy you are using to purchase this annuity. Any money paid in respect of this application will be applied as soon as practicable after acceptance. No interest is payable on money prior to income purchase.

Scheme name or insurance company	Scheme/policy type/policy number
1.	
2.	
3.	
4.	

This form can be used for internal funds where the proceeds come from:

- Prudential Personal Pension
- Prudential Retirement Annuity Contract
- All Ex Scottish Amicable 226 Arrangements
- All Ex Scottish Amicable Personal Pensions
- All Prudential Premier Personal Pensions
- All Prudential Flexible Retirement Plans
- All Ex M & G Retirement Annuity Contracts
- All Ex M&G Personal Pensions

You will be required to complete the appropriate discharge information for all other Prudential arrangements.

LIFETIME ALLOWANCE

IMPORTANT: You must confirm whether or not the value of all the pensions you have already taken, with the benefits you are taking now, is below the Lifetime Allowance before we can arrange to pay your income.

1. If the total value (with Prudential and other providers) is less than £1.6 million, ***please tick this box*** ☐
2. If the total value (with Prudential or other providers) is more than £1.6 million, or you think it might be near that amount, please call us on **0845 073 4000**.
3. If you have a personal Lifetime Allowance, enhanced protection, or a protected early retirement age and have not already let us know, please call us on **0845 073 4000**.

The Lifetime Allowance will rise to £1.65 million in 2008/09.

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ACCEPTANCE

Please read the following declaration before signing and dating this section.

Please write your full quote reference here.

- I wish to accept this annuity ☐☐☐☐☐☐☐☐☐☐☐ / ☐☐ / ☐☐

Provided that the total pension fund is within 10% of the figure shown in this quotation.

- I accept the Transfer Value or Open Market Option Value quoted in my Prudential transfer value/open market option quotation (s) and authorise the existing Scheme Administrator/Trustee to transfer the funds to Prudential Retirement Income Limited or The Prudential Assurance Company Limited. I understand that the existing arrangement transfer value/open market option quoted is not guaranteed. The income payable will be calculated on the day of payment.
- Where appropriate, I apply for membership of The Prudential (Corporate) Personal Pension Scheme and for one arrangement to be established under it. I understand that this application is subject to acceptance by Prudential and I agree to be bound by the rules of the scheme.
- I understand that the purchase of this annuity will be in full discharge and satisfaction of all claims whatsoever and from whomsoever payable under or by virtue of the Prudential plan(s).
- I confirm that the terms of this application, any other written statement by me or on my behalf to Prudential, the answers to any additional questions put to me by Prudential's Medical Examiner, together with Prudential's Acceptance, shall form part of any relevant contract.
- I understand that if I have life cover or waiver of premium benefits on the existing plan(s), it will terminate when the annuity purchase is complete. (Please contact us if you wish to discuss continuation of your life cover.)
- I am responsible for any tax charges on unauthorised payments if the information that I have given is not complete and correct.
- I confirm that I have not been declared bankrupt or insolvent.
- I have no intention of "recycling"¨ any tax-free cash lump sum detailed in the annuity quotation (i.e. investing the tax-free cash in another pension contract in a way that would breach HMRC rules).

Note: by completing this form, you are applying for the removal of any restrictions in your existing policy/contract terms relating to your benefits where such restrictions are no longer necessary because of the 6th April 2006 legislation changes. Prudential agrees to the removal of these restrictions and confirms that this constitutes an endorsement to the policy/contracts terms to allow for the legislation effective from 6th April 2006.

IMPORTANT INFORMATION

The Prudential (Corporate) Personal Pension Scheme is an Appropriate Personal Pension Scheme established by the Prudential Assurance Company Limited and is registered under Chapter 2 Part 4 of Finance Act 2004.

The Prudential Assurance Company Limited is the Scheme Administrator and Provider, and agrees to administer the Scheme by the Rules.

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POLICY CONDITIONS

- I confirm that I have seen the key features document and quotation of my retirement options and am aware of my cancellation rights.
- I agree to Prudential seeking information from the administrator or trustees of any pension scheme or any insurance company referred to in this application and I authorise the giving of such information.
- I understand that I am entitled to receive, on request, a copy of the personal information held by Prudential about me. Such information may be used by the Prudential companies and associated organisations where it is in the interest of the Prudential.
- I confirm that the information I have given in this application form is, to the best of my knowledge and belief, true and complete. Prudential may change the terms of the contract later if I have given incomplete or inaccurate information in this application.

How We Use Your Personal Data

The Prudential Assurance Company Limited, its group companies * and its business partners will use your information together with other information for administration, credit decisions, customer services, marketing and profiling your purchasing preferences. We will pass your information to them (including our service providers and agents) for these purposes. If you are a joint applicant, we will also pass your information to the other joint applicant/s.

For certain products, we may search the files of credit reference agencies that will record any credit searches on your file. This is to help us make credit decisions about you, to prevent fraud, to check your identity and to prevent money laundering. We may disclose details of how you conduct your account to such agencies. The information will be used by other credit grantors for making credit decisions about you and the people with whom you are financially associated, for fraud prevention, money-laundering prevention and occasionally for tracing debtors. This information may be used to recheck these purposes. We will pass your information to any legal or regulatory body if required to do so.

For certain products, we will need to process sensitive personal data such as health data. It may also be necessary, for the above purposes, to transfer your information to countries that provide a different level of data protection from the UK. In such circumstances, we will put a contract in place to ensure your information is protected. By completing and submitting this form, you consent to us processing your sensitive data and to the processing mentioned above.

You have a right to obtain a copy of your personal information (for which we may charge a fee) and to have any inaccuracies corrected by writing to:

The Information Risk & Privacy Team,
The Prudential Assurance Company Ltd,
3 Sheldon Square,
London W2 6PR.

To make sure we follow your instructions correctly and to improve our service to you through training of our staff, we may monitor or record communications.

Acting On Someone's Behalf?

When giving us information about another person, you confirm that they have appointed you to act on their behalf. This includes providing consent to:

- the processing of their personal and sensitive data
- receive any data protection notices on their behalf
- receive marketing information as indicated.

*The Prudential Assurance Company Limited is part of the Prudential group of companies which at the time of printing includes Prudential UK & Europe, the M&G Investments Group, Prudential Corporation Asia, Jackson National Life, and PPM America Inc (indirect wholly owned subsidiary).

We would like to keep you updated with information on our products and services. To do this we would like to contact you by telephone, e-mail or text. If you would not like to be contacted, please tick this box. ☐

Signature of member

✗

Dated

D	D	M	M	Y	Y	Y	Y

WARNING: Please remember it is a serious offence to make false statements, the penalties are severe and could lead to prosecution.

continued overleaf

ADVICE NOTIFICATION

This section is for your financial adviser to complete, so if you have an adviser please ask them to complete it. If you are not using a financial adviser then this section does NOT need to be completed.

Was face to face advice provided as part of this sale? Yes ☐ No ☐

FOR ADVISER USE ONLY

ADVISERS: Complete this part of the form if you are the adviser in this transaction, and return it to Prudential. If this form is not completed, for FSA reporting purposes, this sale will be reported as a non-advised transaction.

Has advice been given and a recommendation made (advised sale)? Yes ☐ No ☐

Adviser's Signature

Date

Your firm's FSA reference number R.I. Number

e.g. A B C 1 2 3 4 5

Your firm's telephone number

PRUDENTIAL

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